



Request for Proposal

| | | | |
|---|--|---|--|
| 1 Company Information: | | Submitted By: | |
| | | Submitter's E-Mail: | |
| | | Company: | |
| | | Address 1: | |
| | | Address 2: | |
| | | City/State/Zip: | |
| | | Contact Phone: | |
| Business Type: | | Sole Proprietor | |
| <i>(Circle One)</i> | | Partnership | |
| | | Limited Liability Partnership | |
| | | Regular Corporation | |
| | | S Corporation | |
| | | Limited Liability Company | |
| | | taxed as: SP P Corp S Corp | |
| Plan Type <i>(Circle One)</i> : | | 401(k) | |
| | | Solo K | |
| | | MP | |
| | | ESOP | |
| | | 457 | |
| | | Other: _____ | |
| Number of eligible participants: | | | |
| 2 Broker Information: | | Contact Name: | |
| | | Company: | |
| | | Address 1: | |
| | | Address 2: | |
| | | City/State/Zip: | |
| | | Phone #: | |
| | | Fax: | |
| | | E-mail: | |
| 3 For New Cases Only: | | New Funding Vehicle: | |
| | | Product Type <i>(Circle One)</i> : | |
| | | Individual Accounts | |
| | | Pooled Accounts | |
| 4 For Takeover Cases Only: | | Where are the present assets? | |
| | | Where will the assets be transferring to (if applicable)? | |
| | | Product Type <i>(Circle One)</i> : | |
| | | Individual Accounts | |
| | | Pooled Accounts | |
| 5 Does the plan have a loan and/or hardship provision? | | Loans: Yes No | |
| | | Hardships: Yes No | |
| 6 Where should the proposal be sent? | | | |
| Company: | | By Mail | |
| | | By Fax | |
| | | By E-mail | |
| | | Fax #: | |
| Broker: | | By Mail | |
| | | By Fax | |
| | | By Email | |